

OFFICE OF THE CITY AUDITOR				Scope
AUDIT PLAN FISCAL YEAR 2019 - APPROVED 8/23/2018				
Estimated Hours	Audit Number	Type of Audit	Unit	
PLANNED FOR COMPLETION:				
400	AU2019-1	Operational	(City) Police Department	Evaluate the Equipment and Inventory tracking system by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability. Extended from AU2018.
400	AU2019-2	Operational	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.
400	AU2019-3	Revenue Monitoring	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.

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400	AU2019-4	Revenue Monitoring	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.
400	AU2019-5	Operational	(City) Police Department	Evaluate the P-Card process (credit card) by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.
400	AU2019-6	Operational	(City) Police Department	Evaluate the Evidence Room process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.
400	AU2019-7	Revenue Monitoring	Revenue Monitoring Hotel Occupancy Tax.	Analyze the funding and use process for Hotel Occupancy Tax proceeds at the Galveston Island Convention Center at the San Luis by comparing to contractual agreements, bond covenants, best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.

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400	AU2019-8	Revenue Monitoring	Sales Tax Compliance Program	Evaluate the forms required for Commercial Remodeling by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability to collect the appropriate sales tax due.
	<i>Alternate</i>	<i>Operational</i>	<i>Information Technology</i>	<i>Evaluate the Information Technology Inventory Management process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.</i>
	<i>Alternate</i>	<i>Operational</i>	<i>Developmental Services Building Division</i>	<i>Evaluate the Building Permit process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.</i>