

OFFICE OF THE CITY AUDITOR				Scope
AUDIT PLAN FISCAL YEAR 2019 - Amended (1) 10/25/2018 & Amended(2) 3/28/2019				
Estimated Hours	Audit Number	Type of Audit	Unit	
PLANNED FOR COMPLETION:				
400	SP2019-1	Operational	Port of Galveston	The City Council approved agenda Item 12A on 10/25/2018 for the City Auditor's office to audit the receipt and use of insurance proceeds on City Owned assets, and report findings to Council by March 31, 2019. The Port of Galveston has requested the City Auditor's office audit the \$55,000,000 insurance proceeds from Ike first.
240	SP2019-2	Compliance	Park Board of Trustees	The City Council approved agenda item 12A 1 on 3/28/2019 To Amend The City Audit Plan For 2019 to include an Audit on the policies and procedures of commissions, bonuses, pay increases and payroll for the Galveston Park Board of Trustees. This will include Galveston Island Convention & Visitors Bureau, Beach Patrol and management.
240	SP2019-3	Compliance	Port of Galveston	The City council approved agenda item 12A 2 on 3/28/2019 To Amend The City Audit Plan For 2019 to include a Performance Audit of the Transit Terminal Garage Agreement between the Port of Galveston and City of Galveston. This Audit will include policies and procedures for the Port of Galveston and Island Transit.
400	AU2019-1	Operational	(City) Police Department	Evaluate the Equipment and Inventory tracking system by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability. Extended from AU2018-3.

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400	AU2019-2	Operational	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.
400	AU2019-3	Revenue Monitoring	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.
400	AU2019-4	Revenue Monitoring	Port of Galveston	Evaluate the contracts to be assigned by the Wharves Board by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.
400	AU2019-5	Operational	(City) Police Department	Evaluate the P-Card process (credit card) by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.
400	AU2019-6	Operational	(City) Police Department	Evaluate the Evidence Room process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.
400	HOT2019	Revenue Monitoring	Revenue Monitoring Hotel Occupancy Tax.	Analyze the funding and use process for Hotel Occupancy Tax proceeds at the Galveston Island Convention Center at the San Luis by comparing to contractual agreements, bond covenants, best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability.

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400	SAL2019	Revenue Monitoring	Sales Tax Compliance Program	Evaluate the forms required for Commercial Remodeling by comparing to best practices including key processes, key risks, key controls, documentation, monitoring, and ensuring transparency and accountability to collect the appropriate sales tax due.
	<i>Alternate</i>	<i>Operational</i>	<i>Information Technology</i>	<i>Evaluate the Information Technology Inventory Management process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.</i>
	<i>Alternate</i>	<i>Operational</i>	<i>Developmental Services Building Division</i>	<i>Evaluate the Building Permit process by comparing to best practices including key processes, key risks, key controls, documentation, monitoring and ensuring transparency and accountability.</i>